

Background:

The **Quarterly Financial Report** is included for review by the Executive Committee and Council and includes the following information:

- **FY 2017 Expense Budget** – This report compares the approved annual budget for fiscal year 2017 (Oct. 1, 2016, thru Sept. 30, 2017) to actual dollars spent and the balance to date. The balance shows that money spent is within the approved annual budget adopted by Council. The Expense Report shows three months spent.
- **Summary of Funds FY 2015-2018** – The report summarizes funds budgeted from the federal award for each fiscal year and shows funds available per category and funds spent to date. The color coded categories on the summary correspond to the color coded pie chart, which shows the percent of each category spent to date relative to the federal award. A projected balance allows funds available to be used by Council for grants and projects.
- **Note:** Spent funds are tied to the federal fiscal year grant awarded to TCDD regardless of whether those funds are spent during the 1st, 2nd, or 3rd year when they are available.
- **Current Grantees** – The current grantees represents projects scheduled to end in years 2017-2021.
- **Stipends Expenditures** – Provides a summary of funds awarded or expended for each stipend grant recipient, the number of individuals attending the conference or seminar who benefited from those stipend funds, and how many of those participants received TCDD stipend support previously from that organization. Provided is information for stipends for FY 2016 and FY2017.

Notes:

- The TCDD fiscal year is the federal fiscal year: October 1 through September 30.
- The DD Act allows two federal fiscal years for initial awards/assignment of funds, and allows three federal fiscal years for final expenditure/spending of funds.

Executive Committee — Agenda Item 8
Expected Action:

The Executive Committee will review the information provided and may provide guidance to staff.

Council — Agenda Item 10. D.
Expected Action:

The Council will receive a report on Executive Committee discussion.

TCDD FY 17 Admin and Expense Budget — October 1, 2016 thru December 31, 2016

Expenses (Council Operations)	Yearly Budget	3 Months Expended	Balance - 9 Months Remaining
Personnel (17 FTEs)	no data	no data	no data
Salaries	\$1,123,791	\$257,609	\$866,182
Benefits	\$400,971	\$84,907	\$316,064
Total Personnel	\$1,524,762	\$342,517	\$1,182,245
Operating	no data	no data	no data
Professional Services ¹	\$80,462	\$11,307	\$69,155
Out-of-State Travel ²	\$21,500	\$206	\$21,294
In-State Travel ³	\$60,750	\$12,276	\$48,474
Supplies	\$12,000	\$4,145	\$7,855
Utilities	\$32,000	\$5,612	\$26,388
Rent, Building, Space	\$69,989	\$14,152	\$55,837
Rent, Computers, Equip ⁴	\$40,000	\$8,096	\$31,904
Capital Expenditures	\$0	\$0	\$0
Other OE ⁵	\$117,317	\$36,346	\$80,971
Total Operating	\$434,018	\$92,140	\$341,878
Total Expenses	Budget	Actual	Balance
no data	\$1,958,780	\$434,656	\$1,524,124
Admin Reim to TEA	\$50,000	22.2%	no data
TOTAL	\$2,008,780	\$484,656	no data

Notes:

1) Auditor: \$37,700/\$9,675; CPA Desk Reviews: \$9,000/\$750; Legal Services: \$3,000/\$0; Web Hosting: \$1,800/\$300; Data Center Services: \$0/\$582; Other Professional Services: \$15,000/\$9,410; Reviewers: \$3,000/\$0; DD Suite: \$0/\$5,000.

2) Travel: Out-of-State Council: \$10,560 /\$0; Out-of-State Staff: \$10,940 /\$206.

3) Travel: In-State Council: \$38,750 /\$8,189; In-State Staff: \$22,000 /\$4,087.

4) Computer lease: \$9,000/\$741; AV Equipment: \$26,464/\$6,583; Copier: \$4,536/\$756.

5) Other OE: NACDD Dues: \$20,500/\$20,625; Interpreter services, registration fees, other training, maintenance, advertising, postage, printing, software, furniture, non-cap equip, security, and janitorial services: \$96,692.

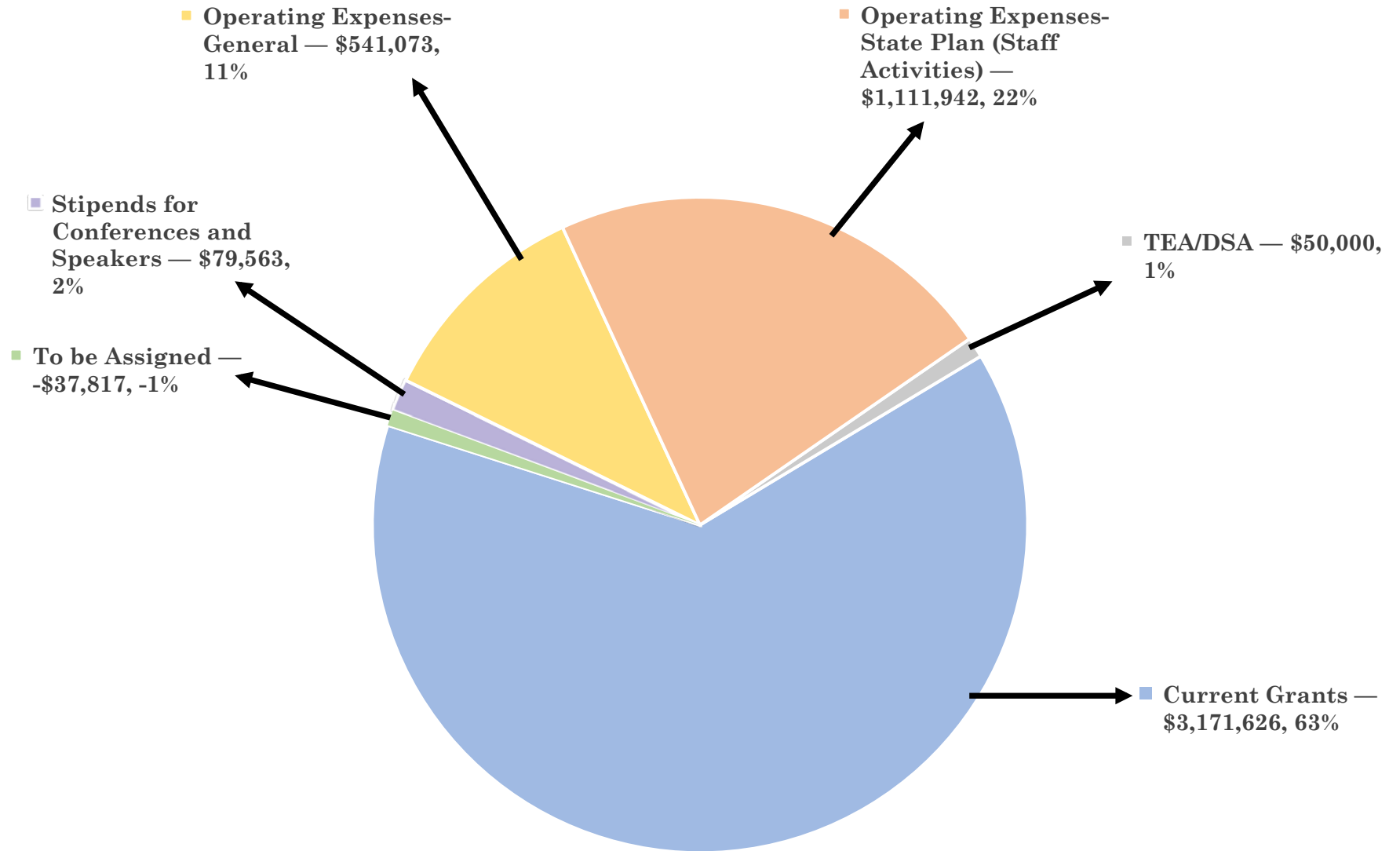
TCDD Summary of Funds Fiscal Years 2015 to 2018 through January 2017

Fiscal Year and Award Period	Fiscal Year 2015	Fiscal Year 2016	Projected Fiscal Year 2017	Projected Fiscal Year 2018
(2 years to assign to grantees; 3 years to spend)	10/1/2013 to 9/30/2016	10/1/2014 to 9/30/2017	10/1/2015 to 9/30/2018	10/1/2016 to 9/30/2019
Federal Award:	\$4,742,116	\$4,916,387	\$4,767,409 ¹	\$4,767,409
Operating Expenses: Council Approved Operating Budget ²	\$1,646,128	\$1,803,464	\$1,958,780 ¹	\$2,009,200
Operating Expenses: Actual General ²	\$516,779	\$541,073	\$126,317	\$0
Operating Expenses: State Plan (Staff Activities) ³	\$1,017,468	\$1,111,942	\$287,714	\$0
Total Operating Expenses Expended:	\$1,534,247	\$1,653,015	\$414,031	\$0
TEA/DSA: Administrative Cost to TEA/DSA	\$50,000	\$50,000	\$50,000	\$50,000
Grants: Funds Available for Grants	\$2,998,344	\$3,082,372	\$2,633,629	\$2,583,209
Grants: Current Grants	\$2,998,334	\$3,171,626	\$2,267,626	\$1,745,616
Stipends: Funds Available for Stipends and Translation Services (\$25,000)	\$75,000	\$125,000	\$125,000	\$125,000
Stipends: Current Stipends	\$60,830	\$79,563	\$0	\$0
Planned Projects: Executive Summaries (see priority list) ⁴	\$0	\$0	\$614,900	\$830,000
To Be Assigned: Balance to assign to grants or give back ⁵	\$0 Assign by 9/30/16 Spend by 9/30/2017	-\$37,817 Assign by 9/30/17 Spend by 9/30/2018	-\$161,714 Assign by 9/30/18 Spend by 9/30/2019	-\$29,121 Assign by 9/30/19 Spend by 9/30/2020

Notes:

- 1) Fiscal Year 2017 award is a projected amount.
- 2) Operating Expenses: General is 16% of Total Personnel plus Total Operating Expenses (See Fiscal Year 2016 Admin and Expense Budget report in TAB 4).
- 3) Operating Expenses: State Plan (Staff Activities) is 84% of Total Personnel (See Fiscal Year 2016 Admin and Expense Budget report in TAB 4).
- 4) See Priority List for Planned Projects and Amounts.
- 5) Funds to be reassigned or returned to AIDD.
- 6) Numbers in color-coded blue represent projected numbers.

Fiscal Year 2016 Funds Spent and To Be Spent



CURRENT GRANTEES

Grantee Name	Project Period	Year of Project	Project Title	Awarded Amount	Expended Amount	Remaining Balance
Jason Sabo dba Frontera	1/3/2017 - 2/10/2017	1 of 1	Consultant (Contract)	\$2,000	\$0	\$2,000
Texas Tech University	10/1/2011 - 2/28/2017	5 of 5	Higher Education-1	\$222,924	\$120,724	\$102,200
Any Baby Can of San Antonio, Inc	3/1/2012 - 2/28/2017	5 of 5	Health & Fitness-1	\$131,165	\$63,577	\$67,588
Strategic Education Solutions, LLC	4/1/2012 - 3/31/2017	5 of 5	Enabling Technology-2	\$224,465	\$74,925	\$149,540
Educational Programs Inspiring Communities, Inc.	4/1/2012 - 3/31/2017	5 of 5	Enabling Technology-1	\$225,000	\$110,426	\$114,574
Texas State Independent Living Council	4/1/2012 - 3/31/2017	5 of 5	Health & Fitness-3	\$230,000	\$102,909	\$127,091
Epilepsy Foundation Texas	4/1/2012 - 3/31/2017	5 of 5	Health & Fitness-2	\$250,000	\$52,038	\$197,962
Texas Workforce Commission (formerly DARS)*	9/1/2016 - 4/30/2017	5 of 5	Higher Education-3	\$194,840	\$0	\$194,840
Imagine Enterprises Inc	5/1/2013 - 4/30/2017	4 of 4	Self-Advocacy as Speakers-2	\$125,000	\$63,400	\$61,600
VSA Arts of Texas	5/1/2013 - 4/30/2017	4 of 4	Self-Advocacy as Speakers-1	\$125,000	\$60,440	\$64,560
Texas Center for Disability Studies	9/1/2015 - 8/31/2017	2 of 2	Support for Advisory Committee Member Travel	\$39,070	\$2,903	\$36,167
Texas Tech University	10/1/2012 - 9/30/2017	5 of 5	Project SEARCH	\$174,656	\$2,550	\$172,106
Imagine Enterprises Inc	10/1/2016 - 9/30/2017	1 of 1	Outreach & Development-16	\$10,000	\$1,520	\$8,480
no data	no data	no data	Subtotal 2017	\$1,954,120	\$655,412	\$1,298,708
Texas Advocates	4/1/2015 – 3/31/2018	1 of 3	Self Advocate Community Organizing	\$100,000	\$98,862	\$1,138
Region 17 ESC	6/1/2013 – 5/31/2018	4 of 5	Families in Schools	\$300,000	\$4,215	\$295,785
National Disability Institute	6/1/2016 – 5/31/2018	1 of 2	Understanding Employment Options and Support Training	\$150,000	\$11,398	\$138,602
Texas Advocates	4/1/2015 - 3/31/2018	2 of 3	Self Advocate Community Organizing	\$100,000	\$19,533	\$80,467

Grantee Name	Project Period	Year of Project	Project Title	Awarded Amount	Expended Amount	Remaining Balance
Region 17 ESC	6/1/2013 - 5/31/2018	4 of 5	Families in Schools	\$300,000	\$63,501	\$236,499
National Disability Institute	6/1/2016 - 5/31/2018	1 of 2	Understanding Employment Options & Support Training	\$150,000	\$21,972	\$128,028
Austin Ribbon & Computer Supplies Inc.	9/1/2015 - 8/31/2018	2 of 3	Targeted Online Training (Contract)	\$10,500	\$0	\$10,500
EveryChild, Inc.	10/1/2016 - 9/30/2018	1 of 2	Developmental Disabilities Policy Fellows-3	\$67,500	\$356	\$67,144
no data	no data	no data	Subtotal 2018	\$628,000	\$105,362	\$522,638
Disability Rights Texas	2/1/2016 - 1/31/2019	1 of 3	Stakeholder Training on Guardianship Alternatives	\$40,000	\$13,776	\$26,224
Community Healthcore	9/1/2014 - 7/31/2019	4 of 5	Building Community Capacity through Collaboration Projects-2	\$131,882	\$0	\$131,882
Volar Center for Independent Living	10/1/2014 - 9/30/2019	4 of 5	Building Community Capacity through Collaboration Projects-3	\$150,000	\$18,285	\$131,715
Light & Salt Association	11/1/2015 - 10/31/2019	2 of 4	Culturally Appropriate Family Supports-1	\$75,000	\$0	\$75,000
no data	no data	no data	SUBTOTAL-2019	\$396,882	\$32,061	\$364,821
Reaching Families Advocacy and Support Group	2/1/2016 - 1/31/2020	1 of 4	Culturally Appropriate Family Supports-2	\$70,606	\$55,317	\$15,289
no data	no data	no data	Subtotal 2020	\$70,606	\$55,317	\$15,289
Texas State Independent Living Council	6/1/2016 - 5/31/2021	1 of 5	Accessible Transportation Project	\$150,000	\$61,770	\$88,230
Family to Family Network Inc	8/1/2016 - 7/31/2021	1 of 5	Leadership Development & Advocacy Trng-2	\$75,000	\$15,693	\$59,307
Paso del Norte Children's Development Center	9/1/2016 - 8/31/2021	1 of 5	Leadership Development & Advocacy Trng-1	\$75,000	\$3,228	\$71,772
Easter Seals	9/1/2016 - 8/31/2021	1 of 5	Leadership Development & Advocacy Trng-3	\$64,394	\$8,405	\$55,989
Johnson Applied Solutions, LLC	9/1/2016 - 8/31/2021	1 of 5	Partners in Policymaking (Contract)	\$150,000	\$21,022	\$128,978
no data	no data	no data	Subtotal 2021:	\$514,394	\$110,118	\$404,276
no data	no data	no data	Grand Totals:	\$3,564,002	\$958,270	\$2,605,732

*DARS project transferred to TX Workforce Commission (TWC) as of 9/1/16.

Stipend Expenditures

Fiscal Year End 9-30-16 Organizations	Event Month	Expended	People Served	People Previously Served	Speakers
Volar Center for Independent Living	October 2015	\$6,000	55	18	No
Volar Center for Independent Living	October 2015	\$6,000	0	0	No
Coalition of Texans with Disabilities	November 2015	\$1,330	46	0	Yes
Texas Center for Disability Studies	November 2015	\$1,400	5	0	No
ADDA-SR	February 2016	\$5,970	51	0	No
Austin Travis County Integral Care	February 2016	\$6,000	3	0	Yes
Austin Travis County Integral Care	February 2016	\$6,000	24	7	No
The Arc of Texas	February 2016	\$4,200	37	4	No
Children's Disabilities Information Coalition	March 2016	\$2,000	5	0	No
Family to Family Network Inc	March 2016	\$3,930	131	0	No
Texas State Independent Living Council	April 2016	\$6,000	23	9	No
Texas Parent to Parent	June 2016	\$6,000	38	9	No
American Association on Intellectual & Developmental Disabilities Texas Chapter (AAIDD- TX)	July 2016	\$3,660	9	3	No
Texas Advocates	August 2016	\$5,950	50	0	No
Down Syndrome Diagnosis Network (DSDN)	September 2016	\$2,471	3	0	No
Providers Alliance for Community Services of Texas (PACSTX)	September 2016	\$7,500	5	0	Yes
The Texas Tribune	September 2016	\$4,282	5	1	No
Totals:	no data	\$78,693	490	51	no data

Fiscal Year End 9-30-17 Organizations	Event Month	Expended	People Served	People Previously Served	Speakers
Helpful Interventions	October 2016	\$3,750	2	0	Yes
Helpful Interventions	October 2016	\$1,486	17	2	No
NAMI Texas	October 2016	\$1,875	10	0	No
Texas Center for Disability Studies	October 2016	\$4,000	1	0	Yes
Texas Center for Disability Studies	October 2016	\$0	0	0	No
The Arc of Greater Houston	November 2016	\$375	15	5	No
Family to Family Network Inc	January 2017	\$4,500	0	0	No
ADDA-SR	February 2017	\$6,970	0	0	No
Austin Travis County Integral Care	February 2017	\$5,000	0	0	Yes
Austin Travis County Integral Care	February 2017	\$7,494	0	0	No
The Arc of Texas	February 2017	\$6,750	0	0	No
Children's Disabilities Information Coalition	March 2017	\$7,500	0	0	No
Totals:	no data	\$49,700	45	7	no data